PCT



Europäisches **Patentamt** 

European **Patent Office**  Office européen des brevets

REC'D 16 DEC 2003

**WIPO** 

Bescheinigung

Certificate

**Attestation** 

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr.

Patent application No. Demande de brevet nº

02080093.4

**CERTIFIED COPY OF** PRIORITY DOCUMENT **PRIORITY** 

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

> Der Präsident des Europäischen Patentamts; Im Auftrag

For the President of the European Patent Office Le Président de l'Office européen des brevets p.o.

R C van Dijk





Office européen des brevets

Anmeldung Nr:

Application no.: 02080093.4

Demande no:

Anmeldetag:

Date of filing:

06.12.02

Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Koninklijke Philips Electronics N.V. Groenewoudseweg 1 5621 BA Eindhoven PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention: (Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung. If no title is shown please refer to the description. Si aucun titre n'est indiqué se referer à la description.)

Multi-point service imjection in a broadcast system

In Anspruch genommene Prioriät(en) / Priority(ies) claimed /Priorité(s) revendiquée(s)
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/Classification internationale des brevets:

H04N7/173

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SI SK

02.12.2002

Multi-point service injection in a broadcast system

EPO - DG

0 6. 12. 2002

### FIELD OF THE INVENTION

75

The invention relates a broadcast system for broadcasting data to a plurality of broadcast receivers through downstream channels of a hierarchical network of data distributors starting from one central distributor through at least one layer of intermediate distributors to a plurality of broadcast receivers. The invention also relates to a method of broadcasting data streams. The invention further relates to a broadcast receiver, distributor and controller for use in such a system.

### BACKGROUND OF THE INVENTION

10

5

Conventional broadcasting systems, such as cable networks, for broadcasting data streams to a plurality of broadcast receivers use a hierarchical network of data distributors. The top of the network is formed by one central headend, the bottom layer of devices is formed by the residential broadcast receivers. Fig.1 shows an example of a system aimed at broadcasting audio/video to a total of 200,000 homes using a hierarchy of seven layers of devices. At the top, the master headend may supply data to five metropolitan headends, each covering a disjoint metropolitan area. Each of these areas may be divided further over five hubs with direct links between the metropolitan headend and the hubs. Each of the hubs may be directly connected to twenty fiber nodes that in turn are each connected to four coaxial headends. Each coax cable connects up to one hundred homes.

20

25

15

Typically the coaxial cable has a capacity in the order of one gigabit per second downstream (i.e. in the direction towards the broadcast receiver). Some of this capacity is reserved for conventional broadcast channels, like the most popular television stations. Such channels can in principle be received by all broadcast receivers (i.e. it is transmitted via all coax cables), although actual receipt may be conditional upon payment. A small part of the bandwidth tends to be reserved for upstream communication from the broadcast receiver up through the network to an interested party. Usually, this upstream communication is to the Internet, using broadband cable moderns. It may also be to a service provider for interactive applications. With the remaining bandwidth, it is difficult if not infeasible to provide an effective video-on-demand service where a significant portion of the

10

15

20

25

30

receivers can simultaneously receive a title (e.g. movie) whose supply is started substantially immediately after the user having indicated that it wishes to receive the title. To overcome this, so-called near-video-on demand broadcast distribution protocols have been developed wherein a title is repeatedly broadcast using a group of a plurality of broadcast channels. A highly effective protocol is the Pagoda broadcasting protocol described in "A fixed-delay broadcasting protocol for video-on-demand", of J.-F. Pâris, Proceedings of the 10<sup>th</sup> International Conference on Computer Communications and Networks, pages 418-423. In this protocol, after an initial delay of, for example, one minute the broadcast receiver can render the title in real-time by retrieving the blocks from a plurality of channels where the protocol prescribes in which channel a block is transmitted and the sequence of transmission of block in a channel. Typically, the receiver needs to tap a few of the group of channels (e.g. two channels) to avoid underflow of data. The repetition rate of the first channel is the highest, resulting in a relatively low initial delay. The repetition rate of the last channel is the lowest (this channel can be used to transmit most different blocks). The initial costs involved in storing a large collecting of titles hampers the introduction of systems described above.

### SUMMARY OF THE INVENTION

It is an object of the invention to provide a better scalable broadcast system. To meet the object of the invention, a broadcast system for broadcasting data includes a hierarchical network of data distributors starting from one central distributor through at least one layer of intermediate distributors to a plurality of broadcast receivers for broadcasting the data streams through downstream channels of the network to the plurality of broadcast receivers; the intermediate distributors being operate to split and/or filter the broadcast data towards the broadcast receivers; at least one distributor hierarchically below the central distributor being operative to insert broadcast data in at least one up-stream channel of the network towards the central distributor; the central distributor is arranged to re-distribute broadcast data received via at least one up-stream channel through at least one downstream channel of the network. Traditionally all data is inserted at the central distributor (master headend) of the network. For a system that is intended to support distribution of a large number of titles, the central distributor needs to be provided with an infrastructure capable of supporting this or capable of being expanded. This comes at a high price, even if not all storage and bandwidth is immediately used. In the system according to the invention, data can be inserted in the upstream channels. Typically, as the system expands, more and more distributors are added. In the architecture according to the invention this can

10

15

20

automatically create more distribution bandwidth at limited cost, as a distributor may come with a (possibly relatively small) storage for storing (parts of) titles. These titles are then available for broadcasting through the system.

3

with a file server that is effectively decentralized over the nodes of the respective users of the system. Data transfer links exist between the nodes. If a node receives a file, it keeps it in its storage for retrieval by other nodes. A central management system registers in which node a copy of a file is stored. When a user requests a file, his node contacts the central management system that checks which one(s) of the nodes have the requested file. Next, a route is established from the closest routable node to the user's node and the data transfer is initiated. Files requested simultaneously by a plurality of users will be simultaneously available from a plurality of the nodes to the new nodes requesting the file in question. The system is designed for high-speed data links or routes between the individual nodes enabling real-time supply of audio/video from one node to another. This approach can not be used for broadcast systems since such systems do not have the capability to send files to all (or even a high portion) of the individual end receivers. In particular end receivers typically only have a very limited bandwidth for sending data. Usually, such bandwidth is not enough for a real-time A/V transmission.

As described by the measure of the dependent claim 2, data blocks of a title are striped over storage of a plurality of distributors. This reduces the upstream bandwidth requirements at the distributor and limits the storage requirements at an individual distributor and.

As described by the measure of the dependent claim 3, a plurality of distributors over whose storage the title is striped are operative to insert stored blocks in at least one data channel of the network under control of one common distribution protocol enabling substantially uninterrupted receipt of a stream of blocks from the plurality of distributors by broadcast receivers. By using the same protocol that prescribes the sequence in which block are transmitted through a group of channels, distributors can easily insert a block at the right time (i.e. in the right time slot) and in the right channel.

As described by the measure of the dependent claim 4, at least two of the plurality of the distributors are operative to insert stored blocks in a respective sub-channel of one data channel. The sub-channel can be seen as a regularly recurring time-slot within a channel. Using the same protocol makes it easy to use the right time-slot, so that distributors can be assigned to sub-channels.

30

25

10

15

20

25

30

Similarly, as described by the measure of the dependent claim 5, at least two of the plurality of the distributors are operative to insert stored blocks in different data channels of the network.

As described by the measure of the dependent claim 6, the common distribution protocol is a near-video-on-demand protocol for distribution of a title through at least two network channels of different repetition rates of blocks assigned to the channel; blocks assigned to a highest repetition rate channel being inserted by a first group of distributors; and blocks assigned to a lowest repetition rate channel being inserted by a second group of distributors; distributors of the first group being hierarchically higher than distributors of the second group. In this way, advantageously the cheaper and less capable distributors lower down the hierarchy are assigned to low frequency channels. As such, they need less upstream bandwidth and storage requirements may be lower.

As described by the measure of the dependent claim 7, the system includes a distribution controller for controlling insertion of broadcast data in the upstream channels and/or sub-channels of the network. The distribution controller may, for example, trigger insertion by the distributors and inform each of the distributors which blocks it needs to insert, the groups of channels to be used, and the start of the insertion. The controller may determine that a title needs to be inserted in response to demand(s) from broadcast receivers.

As described by the measure of the dependent claim 8, the distribution controller is operative to provide data blocks to a plurality of distributors for subsequent insertion in at least one upstream channel and/or sub-channel. In this way, the controller can allocate the titles to the distributors, preferably in dependence on the hierarchical position of a distributor in the network and/or the load on the distributor (in terms of bandwidth and/or storage).

As described by the measure of the dependent claim 9, at least one residential broadcast receiver is operative to insert broadcast data in at least one upstream data channel and/or sub-channel of the network. Preferably the upstream capabilities of a broadcast receiver are used for broadcasting data to other broadcast receivers. Since increasingly broadcast receivers are equipped with permanent storage (e.g. in the form of a hard disk recorder), the combined storage in the receivers creates a massive storage for titles at no additional cost for the remainder of the system.

As described by the measure of the dependent claim 10, a plurality of residential broadcast receivers are operative to insert broadcast data in at least one upstream data channel and/or sub-channel of the network; each of the plurality of residential broadcast

invention;

20

25

30

5

5

receivers including a respective storage; and a title being striped over the storage of the plurality of receivers. By striping a title over several receivers, the upstream bandwidth requirements for the receiver remain low; also the storage used for broadcasting data is limited.

As described by the measure of the dependent claim 11, the storage includes a solid state memory. By striping a title over a sufficient number of receivers, the storage requirements for each receiver become very low, enabling use of reliable solid state memory at very limited cost.

These and other aspects of the invention are apparent from and will be elucidated with reference to the embodiments described hereinafter.

### BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings:

Fig. 1 shows an exemplary hierarchical broadcast network in which the invention can be employed;

Fig. 2 shows block diagram of the broadcast system according to the

Figs.3A and 3B illustrate the Pagoda NVoD protocol;

Fig. 4 illustrates adding a channel in the Pagoda protocol;

Fig. 5 shows multi-point injection and striping according to the invention; and Figs.6, 7 and 8 illustrate preferred embodiments;

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Fig.2 shows a block diagram of the broadcast system according to the invention. The broadcast system 100 includes a hierarchical network of data distributors. The top of the network is formed by a central distributor 110. The system includes at least one layer of intermediate distributors. To simplify the figure, only one intermediate layer for downstream broadcasting is shown with three intermediate distributors 120, 130 and 140, each covering a disjoint geographical area. Fig.1 shows a typical hierarchical network for a town of 200,000 connected homes, with three intermediate downstream layers (metro headend, hub, fiber node). In the example, four coax segments are connected to each fiber node. Fig.2 also indicates the downstream path 160 that starts at the central distributor 110, runs through the intermediate distributors 120, 130 and 140 and ends at the plurality of broadcast receivers of the system. Conventionally the distributors split the broadcast signal

30

towards the receivers/distributors that are hierarchically one layer lower. For simplicity only one broadcast receiver 150 is shown. Typically, the path is divided into a plurality of channels, that each may be sub-divided into sub-channels. At the lowest level, usually coaxial segments are used that form a shared medium to the broadcast receivers. On coax, channels are usually frequency multiplexed. Sub-channels within such a channel may be time-5 multiplexed. At the higher levels, typically fiber optics is used. On such media, channels may also be time-multiplexed. Any suitable transmission technology, such as various types of media and multiplexing techniques, may be used. The broadcast system is described for broadcasting digital data streams through the network to the plurality of broadcast receivers. The data streams may have been encoded using any suitable technology, such as MPEG2 10 video encoding. Broadcast data is not addressed to a specific receiver and can in principle be received by all receivers in all segments of the hierarchical network. Access to the data may be subject to payment. In the broadcast system according to the invention access may also be controlled using suitable conditional access mechanisms. For each device of the system, Fig. 2 schematically shows the respective hardware/software functionality 112, 122, 132, 142 and 15 152 necessary for sending/receiving broadcast data and performing all necessary processing. In itself such HW/SW is known and can be used for the system according to the invention. The HW/SW may be formed by suitable transceivers (such as fiber optics transceiver and/or cable modems) controlled by using suitable processors, such as signal processors. Also dedicated hardware, like MPEG encoders/decoders, buffers, etc. may be used. 20

unmodified copied by each intermediate layer to the lowest part of the network. To this end, the central distributor may have a storage 115 for storing a plurality of titles, such as movies. It may also have a connection 160 for receiving live broadcasts, e.g. through satellite connections. The storage may be implemented on suitable server platforms, for example based on RAID systems. According to the invention, at least one distributor hierarchically below the central distributor is operative to insert broadcast data in at least one up-stream channel of the network towards the central distributor. Fig. 2 shows the upstream channels using number 170. In principle, the upstream channel may start at an intermediate level going upwards. Preferably, the upstream channel is already present at the lowest level, also allowing communication to outside the broadcast system (e.g. towards the Internet via the central distributor or an intermediate distributor outwards). An intermediate/central distributor may receive data streams via the upstream channel(s) from more than one device below it. It is the task of the distributor to combine those streams. It may do this, for example,

10

15

20

25

30

by compensating for time-differences between the various streams (de-jittering), e.g. by temporarily storing part of the streams and sending it upwards using one common time base. Such a time base may be provided by the central distributor via the downstream channel. De-jittering is well-known and will not be described further. In particular, the central distributor is arranged to re-distribute broadcast data received via at least one up-stream channel through at least one downstream channel of the network. To be able to supply a title via an upstream channel the injecting device needs to have access to a source of the title. Fig.2 shows that the intermediate distributors 120, 130 and 140 each have a respective storage 125, 135 and 145 for storing at least part of a title. Such storage may be formed by a hard disk, for example implemented using a RAID system, or other suitable storage, like solid state memory. As will be described in more detail below, also the broadcast receivers 150 may inject a title upwards. If so, the receiver also needs access to stored title. Shown is a storage 155. This storage may also be formed by a hard disk or other suitable storage, like solid state memory. Such a hard disk may any how already be present for (temporarily or permanently) storing titles received via the downstream channels.

7

Preferably, data blocks of a title are striped over storage of a plurality of distributors. This reduces the demand on upstream capacity and storage in the distributors. Typically, distributors at one level will have similar upstream capabilities, where the capacity decreases lower in the hierarchy. To simplify the striping it is preferred to stripe a title using only distributors at one level. Preferably the title is striped over more distributors if the distributors are lower in the hierarchy. Striping itself is known for hard disks in one local storage system. In the system according to the invention, striping takes place over storage connected via a broadcasting system. Once the right selection of blocks of a title has been stored in the respective storage of the involved distributors, playback of the striped title can start. Playback may take place under control of a central device (distribution controller), such as device 180 in Fig.2. Preferably, the central device 180 is connected to or forms part of the central distributor 110. If so desired, there can also be more distribution controllers, e.g. one per layer or one per intermediate distributor, where each distributor is responsible for part of the network. The central device 180 may have knowledge of which blocks of the title are stored in which storage. The central device may then issue explicit 'read' instructions at the right time to the right distributor, to ensure that the blocks are broadcast in the right sequence. As such the distribution controller controls insertion of broadcast data in the upstream channels and/or sub-channels of the network.

10

15

20

25

30

8

In a preferred embodiment, the plurality of distributors over whose storage the title is striped are operative to insert stored blocks in at least one data channel of the network under control of one common distribution protocol. In this embodiment, control of playback is decentralized. Using the same protocol enables substantially uninterrupted receipt of a stream of blocks from the plurality of distributors by broadcast receivers. A channel may be divided into a plurality of sub-channels. Using a common protocol that prescribes which sub-channel within the channel needs to be used, the distributors are operative to insert striped blocks in a respective sub-channel of one data channel. The protocol may also be based on using multiple channels for broadcasting a title. By prescribing for each data block of the title in which channel it needs to be inserted, the distributors are operative to insert stored blocks in different data channels of the network while the receiver can still receive the title as if it had been broadcast by the central distributor.

Blocks of a title may be inserted by distributors at more than one hierarchical layer. Preferably, the common distribution protocol for controlling the insertion is a near-video-on-demand (NVoD) protocol for distribution of a title through at least two network channels of different repetition rates of blocks assigned to the channel. Using such a protocol, advantageously blocks assigned to a highest repetition rate channel are inserted by a group of distributors that are hierarchically above a second group of distributors. The second group of distributors inserts blocks assigned to a lowest repetition rate channel. In this way, the load caused by the insertion (particularly in terms of upstream capacity and storage requirements) corresponds to the capacity of the involved distributors.

## Fixed-delay pagoda broadcasting

Preferably, the fixed-delay pagoda broadcasting protocol is used as the near-video-on-demand protocol for broadcasting data blocks of the titles. This protocol is asymptotically optimal, and it can easily be adapted to limited client I/O bandwidth. A small example of this is given in Fig.3A. Fig.3B shows how the retrieval takes place for a request at an arbitrary moment. In the example of Fig. 3, at most two channels are tapped at the same time, and all blocks arrive in time. Key in this NVoD scheme is that channel *i* starts being tapped after the tapping of channel *i-2* has finished, thereby limiting the number of channels to be tapped to two. This means e.g. that for channel 4 a receiver has to wait two time units before it can start tapping the channel. As block 7 has to be received within 7 time units after the request, this means that only 5 time units are left to receive it, and hence it has to be transmitted with a period of at most 5, rather than 7. It is actually transmitted with a period of

15

20

25

4. The general structure of the above broadcast scheme will be described for a given number c of server channels and a given number r of client channels that can be received. Furthermore, an offset o is considered as described meaning that a user will always wait an additional o time units before playing out. The start of the (tapping) segment in channel i is denoted by  $s_i$ , and the end by  $e_i$ . Then, in order not to exceed the maximum number r of channels that a user can receive, tapping in channel i=r+1, ..., c is started after the tapping in channel i-r has ended. Hence

9

$$s_i = \begin{cases} 1 & \text{for } i = 1, ... r \\ e_{i-r} + 1 & \text{for } i = r + 1, ..., c \end{cases}$$

Next, in channel *i* blocks  $l_i$ ...,  $h_i$  are transmitted. The number of different blocks transmitted in channel *i* is hence given by  $n_i = h_i - l_i + 1$ , and

$$l_i = \begin{cases} 1 & \text{for } i = 1\\ h_i + 1 & \text{for } i > 1 \end{cases}$$

In order to receive each block in time, block k is to be transmitted in or before time unit o+k. If block k is transmitted in channel i, which starts being received in time unit  $s_i$ , this means that block k should be broadcast with a period of at most  $o+k-(s_l-1)$ . Ideally, this period is exactly met for each block k, but it is sufficient to get close enough.

The structure of channel i in the pagoda scheme is as follows. First, channel i is divided into a number  $d_i$  of sub-channels, which is given by

$$d_i = \left[ \sqrt{o + l_i - \left( s_i - 1 \right)} \right] \tag{1}$$

i.e., the square root of the optimal period of block  $l_i$ , rounded to the nearest integer. Each of these sub-channels gets a fraction  $1/d_i$  of the time units to transmit blocks, in a round-robin fashion. In other words, in time unit t sub-channel t mod  $d_i$  can transmit a block, where we number the sub-channels  $0, 1, ..., d_{i-1}$ .

Now, if a block k is given a period  $p_k$  within a sub-channel of channel i, it is broadcasted in channel i with a period of  $p_k d_i$ . Hence, to obtain that  $p_k d_i \le c + k - (s_i - 1)$ , this means that

$$p_k \le \left\lceil \frac{o + k - (s_i - 1)}{d_i} \right\rceil$$

By taking equal periods for all blocks within each sub-channel, collisions can be trivially avoided. So, if  $l_{ij}$  is the lowest block number in sub-channel j of channel i, this means that the following period is chosen

10

$$p_{ij} = \left\lfloor \frac{o + l_{ij} - (s_i - 1)}{d_i} \right\rfloor$$

for all blocks within sub-channel j of channel i, and hence we can transmit  $n_{ij}=p_{ij}$  blocks (blocks  $l_{ij},...,l_{ij}+n_{ij}-1$ ) in this sub-channel. The block number  $l_{ij}$  is given by

$$l_{ij} = \begin{cases} l_i & \text{for } j=0 \\ l_{l,j-1} + n_{i,j-1} & \text{for } j > 1 \end{cases}$$

The total number  $n_i$  of blocks transmitted in channel i is then given by

$$n_i = \sum_{j=0}^{d_i-1} n_{ij}$$

with which we can compute  $h_i = l_i + n_i - 1$ .

Finally, the moment of start and end of the segments within a channel is reviewed. All sub-channels of channel i start transmitting at time  $s_i$ . Sub-channel j of channel i is ready after  $n_{ij}$  blocks, which takes  $d_i$   $n_{ij}$  time units within channel i. Hence, the end of the segment in sub-channel j is given by  $e_{ij} = s_i - 1 + d_i$   $n_{ij}$ , and channel i ends when its last sub-channel ends, at

$$e_i = e_{i,d_i-1} = s_i - 1 + d_i n_{i,d_i-1}$$

To exemplify the above, Fig.4 illustrates adding a fifth channel to the example of Fig.3. For the fifth channel, the following holds:  $l_5 = 12$ ,  $s_5 = e_3 + 1 = 6$ , and an offset o = 0. The number of sub-channels is  $d_5 = [\sqrt{(0+12-5)}] = 3$ . For sub-channel j = 0 this gives  $l_{5,0} = 12$ , hence we can transmit  $n_{5,0} = \lfloor (0+12-5)/3 \rfloor = 2$  blocks in this sub-channel, being blocks 12 and 13. For sub-channel j = 1 this gives  $l_{5,1} = 14$ , hence we can transmit  $n_{5,1} = \lfloor (0+14-5)/3 \rfloor = 3$  blocks in this sub-channel, being blocks 14, 15, and 16. For sub-channel j = 2 this gives  $l_{5,2} = 17$ , hence we can transmit  $n_{5,2} = \lfloor (0+17-5)/3 \rfloor = 4$  blocks in this sub-channel, being blocks 17, 18, 19, and 20. The end of the segments in the sub-channels are given by  $e_{5,0} = 5 + 3 * 2 = 11$ ,  $e_{5,1} = 5 + 3 * 3 = 14$ , and  $e_{5,2} = 5 + 3 * 4 = 17$ , hence  $e_5 = 17$ .

The values of  $h_i$ , i.e., the number of blocks in which a movie can be split, are given in table 1 for an offset zero and for different values of r. The series converge to power series, with bases of about 1.75, 2.42, 2.62, and  $e \approx 2.72$ , for r=2, 3, 4, and  $\infty$ , respectively.

	r=2	r=3	r=4	<i>r</i> =∞
i=1	1	1	1	1
i=2	3	3	3	3
i=3	6	8	8	8
i=4	11	17	20	20
i=5	20	39	47	50
i=6	38	86	113	124
i=7	68	198	276	316
i=8	122	467	692	822
i=9	221	1102	1770	2176
i=10	397	2632	4547	5818
i=11	708	6308	11800	15646
$\frac{1}{i}=12$	1244	15192	30748	42259
i=13	2195	36672	80273	114420
$\overline{i=14}$	3862	88710	210027	310284
i=15	6757	214792	549998	842209
				<del></del>

Table 1.

10

15

The last column corresponds to having no limit on the number of client channels. Using the above values of  $h_c$ , the maximum waiting time is given by a fraction  $1/h_c$  of the movie length when using c channels. If a positive offset o is used, the general formula for the maximum waiting time is a fraction  $(o+1)/h_c$  of the movie length.

In the previous sections, the number  $d_i$  of sub-channels of channel i is fixed, given by equation (1). It should be noted that also different values may be used to get a better solution in terms of the number of blocks into which a movie can be split. To this end, a first-order optimization can be applied by exploring per channel i a number of different values around the target value given in (1), calculating the resulting number of blocks that can be fit into channel i, and taking the number of sub-channels for which channel i can contain the highest number of blocks. Note that this is done per individual channel, i.e., no back-tracking to previous channels occurs, to avoid an exponential run time for a straightforward implementation. This may lead to sub-optimal solutions, as choosing a different number of sub-channels in channel i to get a higher number of blocks in it may cause the end time  $e_i$  to increase, thereby increasing the start time  $s_{i+r}$  of channel i+r, which may in turn decrease the

number of blocks that can be fit into this channel. Nevertheless, this first-order optimization gives good results as is shown in table 2. The new values of  $h_i$  are given for an offset zero and for different values of r. Although the numbers are higher than the ones in the previous table, the bases of the power series are the same as those of table 1.

	r=2		r=3		r=4		<i>r</i> =∞	
$\frac{1}{i=1}$	1		1	<del> </del>	1		1	
$\frac{i}{i=2}$	3		3		3		3	
	6		8		8		8	
i=3			18	(+1)	20		20	
i=4	11			(+2)	47		50	
i=5	21	(+1)	41		115	(+2)	127	(+3)
i=6	42	(+4)	94	(+8)		(+11)	328	(+12)
i=7	81	(+13)	218	(+20)	287		859	(+37)
i=8	148	(+26)	510	(+43)	728	(+36)	·	
i=9	269	(+48)	1213	(+111)	1868	(+98)	2283	(+107)
i=10	478	(+81)	2908	(+276)	4831	(+284)	6112	(+294)
$\frac{i}{i=11}$	841	(+133)	6993	(+685)	12543	(+743)	16459	(+813)
$\frac{i-11}{i=12}$	1487	(+243)	16869	(+1677)	32685	(+1937)	44484	(+2225)
		(+432)	40749	(+4077)	85391	(+5118)	120485	(+6065)
i=13	2627		98625	(+9915)	223390	+13363)	326795	+16511)
i=14	4617	(+755)		(+24049)		(+34995)	887124	(+44915)
i = 15	8058	(+1301)	238841	(TZ4V43)				

Table 2.

In the remainder, the values of table 1 for the conventional Pagoda protocol will be used.

In the description so far, it has been assumed that titles have a constant bit rate (CBR). The transmission schemes, however, can easily be adapted to cope with variable bit rate (VBR) streams. The time at which block k must have arrived, which is given by o+k for CBR streams, is then given by a function o+t(k). Here, t(k) is an increasing function, that describes the way the stream is to be played out in time. The effect on the transmission scheme is as follows. If block k is transmitted in channel i, which starts at time  $s_i$ , then it must

15

be broadcasted with a period of at most  $o+t(k)-(s_i-1)$ . Hence, the target value for the number of sub-channels, as given in equation (1), now becomes

13

$$d_i = \left[ \sqrt{o + t(l_i) - (s_i - 1)} \right]$$

The number of blocks in sub-channel j of channel i, i.e., the period used within

5 this sub-channel, is then given by 
$$n_{ij} = p_{ij} = \left[ \frac{o + t(l_{ij}) - (s_i - 1)}{d_i} \right]$$
.

The rest of the computations remain the same.

#### **Network assumptions**

10

15

20

30

In the remainder, three examples will be given of multi-point injection according to the invention. Calculations that support the examples are given for a hierarchical network as shown in Fig. 1. It is assumed that the main bottleneck is given by the capacities of the upstream and downstream links from the homes to the fiber nodes. In the example these are taken to be 400 kb/s and 20 Mb/s, respectively. Assuming a video transmission rate of 5 Mb/s, this implies that 0.08 video channels can be upstreamed and 4 video channels can be downstreamed per home. Having 200,000 homes, this gives a total upstream capacity of 16,000 video channels. Using a near-video-on-demand scheme, also the limited downstream capacity should be taken into account. In the examples, it is assumed that there are no practical limitations on bandwidth above the fiber nodes. Persons skilled in the art will be able to design different systems based on the described principles. Further, it is assumed that all homes are permanently connected, and that no failure at the nodes or data loss during transmission occurs. Finally, it is assumed that it is desired to have a collection of 1000 movies, which each last 6000 seconds (100 minutes). The size of a movie is hence 30 Gb, or 3.75 GB.

## 25 A preferred NVoD solution

Aiming at a maximum response time of about one second, and a limit of r=3 channels to be tapped, table 1 indicates that 11 transmission channels should be used, where a movie can be split into 6308 blocks, and the actual maximum response time is  $6000/6308 \approx 0.95$ s. Generating the 11 transmission channels of all 1000 movies at the homes would use 11,000 out of the available 16,000 channels. In the first example as shown in Fig. 6, a number of channels are transmitted from the fiber nodes. The remaining channels may be transmitted from another distributor, such as the central distributor. In the first example, the remaining

10

15

30

channels are transmitted by the broadcast receivers acting as upstream distributors. By injecting some channels at the higher levels the bandwidth requirement on the upstream channels from the homes are reduced. Preferably, the first transmission channels per movie are stored at the fiber nodes, limiting the storage requirements for the fiber nodes, as the first transmission channels per movie concern a relatively low number of blocks. For example, by transmitting the first six NVoD channels from the fiber nodes, a fraction 86/6308 ≈0.014 of each movie has to be stored at the level of the fiber nodes, which corresponds to 3750 \* 86 / 6308  $\approx$ 51 MB per movie. As we have 1000 movies and 500 fiber nodes, this can be stored in a solid state memory, such as a RAM module or Flash memory module, of 102 MB per fiber node. In this way, each fiber node stores the blocks for 6 channels of two movies. The remaining storage, being a fraction (6308-86)/6308 ≈0.986 of each movie, meaning about 3.7 GB, has to be distributed over the homes. Of course this may be stored in one or a few broadcast receivers. However, it is preferred to use a more even distribution. Distributing this over all receivers, this requires a storage capacity of 3700 \* 1000 / 200,000 ≈18.5 MB per home, which can also be done in solid state modules. This even distribution can be realized by striping each movie into 200 stripes, and letting each home transmit a stripe of each of the NVoD channels 7-11. Of course also fewer distributors may be involved and redundancy may be introduced via the other distributors. Fig.5 gives a graphical representation of how a movie is split into blocks and stripes. In this example, the blocks for the first 6 channels of a movie are stored in one fiber node; the remaining blocks are striped over the residential 20 broadcast receivers (the striping is indicated using the horizontal grey stripes). The figure is not to scale. The boundaries of the segments for the 11 NVoD channels are given by the values of  $h_i$  in table 1. A fiber node can then generate the complete NVoD scheme by merging 200 'stripe channels' per NVoD channel. Each fiber node has 400 homes connected to it, so it can generate the entire NVoD scheme of two movies. Note that each `stripe 25 channel has a bit rate of 5000 / 200 = 25 kb/s, which gives a total upstream bit rate of 125 kb/s per home.

The required storage at the fiber nodes and at the homes is relatively independent of the number of channels that is used, i.e., of the response time that is required. For instance, if one increases the number of channels to 12, then the response time is reduced to 6000/15192 ≈0.39 s. As a result, a movie is split into about 2.4 times as many blocks, and hence the blocks are about 2.4 times smaller. Therefore, in an alternative example it is possible to let the fiber nodes generate one channel more, i.e., channels 1-7, and to let the homes generate channels 8-12. The storage at a fiber node then equals 3750 \* 198/15192  $\approx$ 

15

49 MB per movie, which is roughly the same as the 51 MB required for 11 channels. The storage requirement at a home remains slightly less than a fraction 1/200 of a movie. Table 3 shows what the values in Fig.5 are if a different number of channels are assigned to the fiber nodes and homes.

fiber node	home	fiber node	fiber node	home bandwidth
			bandwidth [Mb/s]	[kb/s]
channels	channels	storage [MB]		
	111	0	0	275
1	211	1.2	10	250
12	311	3.6	20	225
13	411	9.5	30	200
14	511	20.2	40	175
15	611	46.4	50	150
1-6	711	102.3	60	125
17	811	235.4	70	100
18	9-11	555.3	80	75
19	1011	1310.3	90	50
110	11	3129.4	100	25
111	-	7500.0	110	0

Table 3.

Alternatively, NVoD channels 7-11 of each movie can also be inserted higher into the network. Fig.7 shows a solution where they are inserted at the fiber nodes. To this end the fiber nodes need to be equipped with more storage, such as a hard disk for storing the titles. Fig.8 shows a further alternative where the channels are inserted at the hubs. This may involve having a storage of 150 GB per hub.

The distribution controller 180 of Fig. 2 may have the task to provide data blocks of title to the appropriate distributors for subsequent insertion in at least one upstream channel and/or sub-channel. It may do this by directly addressing the blocks to the respective intended distributor. An alternative is to instruct each of the distributors which blocks to extract and then broadcast the title, so that each distributor can extract and store the blocks that are assigned to it.

15

10

#### **Filtering**

5

10

20

25

30

To support simultaneous transmission of a large collection of near-video-on demand movies (e.g. 1000 movies) the broadcast system needs a high bandwidth. For the levels between the master headend and the fiber nodes this can easily be achieved using suitable dedicated links, such as using fiber optic based distribution. Particularly at the lowest level, use of a shared medium, such as coax, is most economical. By selectively filtering data, for example in the fiber optic node, and only passing on data for which there is at least one interested receiver the bandwidth can be sufficient for simultaneous distribution of a relatively large number of movies. Using the filtering, it is not guaranteed that data transmitted by the master headend is actually receivable by all broadcast receivers: it may not be present on the local network segment. Note that also at higher levels in the network already a selection can be made, e.g., a hub only has to forward the blocks of the movies that will be consumed by any user in its sub-tree; the others do not have to be forwarded.

#### 15 Redundancy

The described broadcast schedules may fail if one of the distributors (including the broadcast receiver) is not capable of delivering the blocks. For example, if the set-top box (broadcast receiver) in any of the 200 homes that participate in broadcasting a movie does not deliver its share of stripe channels in time the title can not be fully received or rendered in real-time. In the example given above of striping blocks over receivers, a share consists of five stripe channels. There can be many reasons a set-top box fails to deliver its share, the most likely one being that the set-top box is switched off, because its owner is away on holidays or because of a power outage. Another reason can be that the user temporarily needs its full upstream bandwidth for something more urgent. In the former case, the set-top box will not be available for a long time, in the order of days or weeks, so its upstream bandwidth can be used by other set-top boxes on the same coax. This means that also its broadcasting tasks can be taken over by another set-top box that is on the same coax. The redistribution of broadcasting tasks should not take much longer than a few minutes. In the latter case, the interruption is likely to be quite short, in the order of seconds or minutes. This can even be enforced by a bandwidth scheduler in the set-top box or controller 180.

Many measures may be taken to reduce the likelihood of a receiver not being able to supply the assigned blocks. For example, the receivers may be designed such that a user is discouraged to switch the receiver off. The receiver may be implemented in a

10

15

20

25

30

reasonably fault-tolerant manner, e.g. using flash memory for storing its shares, using a battery to overcome short power failures, etc.

In a preferred embodiment, redundancy is introduced by striping a block over at least one more device and encoding the stored blocks in such a way that failure of one (or more) devices can be overcome by regenerating the blocks from the still accessible encoded blocks. A preferred encoding scheme for the stripe channels is an (N, k) Reed-Solomon encoding. Reed-Solomon encoding in itself is well-known. A description can also be found in S.B.Wicker and V.K. Bhargava, editors. Reed-Solomon Codes and Their Applications. IBEE Press, 1994. For the example, the Reed-Solomon encoding is preferably used with the following parameters: N=200 and k=200-r. The movie is divided into 200-r shares of stripe channels and r parity like shares of stripe channels are calculated. The resulting 200 shares are distributed among 200 set-top boxes. These encoded stripe channels are 200/(200-r) times as 'fat' as the bare stripe channels described in the previous sections. It can be shown that for r=10 this means that the fraction of time the transmission fails is down to less than one in a million and the probability of a half a minute hiccup in a movie is 1:20,000 at the expense of an increase of about 5% in required upstream bandwidth and storage at the set-top boxes.

Assuming that the title is striped over devices at a certain level in the network, a hierarchically higher level can easily recover the blocks. As an example, if the title is striped over a group of set top boxes that are all hierarchically below one fiber node this fiber node can easily retrieve the original blocks. To this end, the fiber node needs additional hardware and/or software to perform the decoding (such as a Red Solomon decoding) of the movies that are broadcast from the 400 set-top boxes below it. Such hardware/software is known and will not be described further. Since it is preferred to store a movie entirely below a single fiber node, the fiber node can do the decoding, so the implementation of redundancy does not require any additional hardware/software and bandwidth above the fiber node level.

It should be noted that the above-mentioned embodiments illustrate rather than limit the invention, and that those skilled in the art will be able to design many alternative embodiments without departing from the scope of the appended claims. In the claims, any reference signs placed between parentheses shall not be construed as limiting the claim. The words "comprising" and "including" do not exclude the presence of other elements or steps than those listed in a claim. The invention can be implemented by means of hardware comprising several distinct elements, and by means of a suitably programmed computer. In the system claims enumerating several means, several of these means can be embodied by one and the same item of hardware. The computer program product may be stored/distributed

on a suitable medium, such as optical storage, but may also be distributed in other forms, such as being distributed via the network of the broadcasting system, Internet or wireless telecommunication systems.

CLAIMS:

5

**EPO - DG 1** 

06. 12. 2002



- 1. A broadcast system for broadcasting data includes a hierarchical network of data distributors starting from one central distributor through at least one layer of intermediate distributors to a plurality of broadcast receivers for broadcasting the data through downstream channels of the network to the plurality of broadcast receivers; at least one distributor hierarchically below the central distributor being operative to insert broadcast data in at least one up-stream channel of the network towards the central distributor; the central distributor being arranged to re-distribute broadcast data received via at least one up-stream channel through at least one downstream channel of the network.
- 2. A broadcast system as claimed in claim 1, wherein data blocks of a title are striped over storage of a plurality of distributors for subsequent insertion as broadcast data in at least one up-stream channel.
- 3. A broadcast system as claimed in claim 2, wherein a plurality of distributors over whose storage the title is striped are operative to insert stored blocks in at least one data channel of the network under control of one common distribution protocol enabling substantially uninterrupted receipt of a stream of blocks from the plurality of distributors by broadcast receivers.
- 4. A broadcast system as claimed in claim 3, wherein at least two of the plurality of the distributors are operative to insert stored blocks in a respective sub-channel of one data channel.
- 5. A broadcast system as claimed in claim 3, wherein at least two of the plurality of the distributors are operative to insert stored blocks in different data channels of the network.
  - 6. A broadcast system as claimed in claim 3, wherein the common distribution protocol is a near-video-on-demand protocol for distribution of a title through at least two

10

30

network channels of different repetition rates of blocks assigned to the channel; blocks assigned to a highest repetition rate channel being inserted by a first group of distributors; and blocks assigned to a lowest repetition rate channel being inserted by a second group of distributors; distributors of the first group being hierarchically higher than distributors of the second group.

- 7. A broadcast system as claimed in claim 1, wherein the broadcast system includes a distribution controller for controlling insertion of broadcast data in the upstream channels and/or sub-channels of the network.
- 8. A broadcast system as described in claim 7, wherein the distribution controller is operative to provide data blocks to a plurality of distributors for subsequent insertion in at least one upstream channel and/or sub-channel.
- 15 9. A broadcast system as claimed in claim 1, wherein at least one broadcast receiver is operative to insert broadcast data in at least one upstream data channel and/or subchannel of the network.
- 10. A broadcast system as claimed in claims 2 and 9, wherein a plurality of broadcast receivers are operative to insert broadcast data in at least one upstream data channel and/or sub-channel of the network; each of the plurality of broadcast receivers including a respective storage; and a title being striped over the storage of the plurality of receivers.
- 25 11. A broadcast system as claimed in claim 10, wherein the storage includes a solid state memory.
  - 12. A broadcast system as claimed in claim 1, wherein the intermediate distributors are operative to split and/or filter the broadcast data towards the broadcast receivers.
  - 13. A method of broadcasting data streams through a hierarchical network of data distributors starting from one central distributor through at least one layer of intermediate distributors to a plurality of broadcast receivers for broadcasting the data streams through

downstream channels of the network to the plurality of broadcast receivers; the method including:

inserting broadcast data at at least one distributor hierarchically below the central distributor in at least one up-stream channel of the network towards the central distributor;

receiving broadcast data via the up-stream channel of the network; and re-distributing the received broadcast data through at least one downstream channel of the network.

- 10 14. A broadcast receiver for use in a broadcast system as claimed in claim 1, wherein the broadcast receiver is operative to receive data blocks broadcast through at least one downstream channel of the network for subsequent rendering; and to insert broadcast data in at least one up-stream channel of the network towards a central distributor for redistributing by the central distributor through at least one downstream channel of the network.
  - 15. A broadcast distribution controller for use in a broadcast system as claimed in claim 1; the distribution controller being operative to control synchronized insertion of broadcast data in at least one upstream channel and/or sub-channel of the broadcast network.
  - 16. A central broadcast data distributor for use in a broadcast system as claimed in claim 1, the central broadcast data distributor being operative to re-broadcast broadcast data received via at least one up-stream channel through at least one downstream channel of the network towards a plurality of broadcast receivers.

25

30

20

5

17. An intermediate broadcast data distributor controller for use in the broadcast system as claimed in claim 1 that includes a hierarchical network of data distributors starting from one central distributor through at least one layer of intermediate broadcast data distributors to a plurality of broadcast receivers for broadcasting the data streams through downstream channels of the network to the plurality of broadcast receivers; the intermediate broadcast data distributor being operative to insert broadcast data in at least one up-stream channel of the network towards the central distributor for re-distribution of the broadcast data by the central distributor through at least one downstream channel of the network.

ABSTRACT:

22

02.12.2002 EPO - DG 1

0 6. 12. 2002



A broadcast system for broadcasting data streams includes a hierarchical network of data distributors starting from one central distributor (110) through at least one layer of intermediate distributors (120, 130, 140) to a plurality of broadcast receivers (150). Data streams are broadcast through downstream channels (160) of the network to the plurality of broadcast receivers. At least one distributor hierarchically below the central distributor is operative to insert broadcast data in at least one up-stream channel (170) of the network towards the central distributor. The central distributor is arranged to re-distribute broadcast data received via at least one up-stream channel through at least one downstream channel of the network.

10

5

Fig. 2

0 6. 12. 2002

1/6

			number	homes node
master headend	O		1	200,000
metro headend	$\Phi$	x 5	5	40,000
hub	<u>—</u>	x 5	25	3,000
fiber node		x 20	500	400
	7	x 4	2,000	100
coax		x 100	2,000	
home	$\bigcirc$		200,000	1

FIG. 1

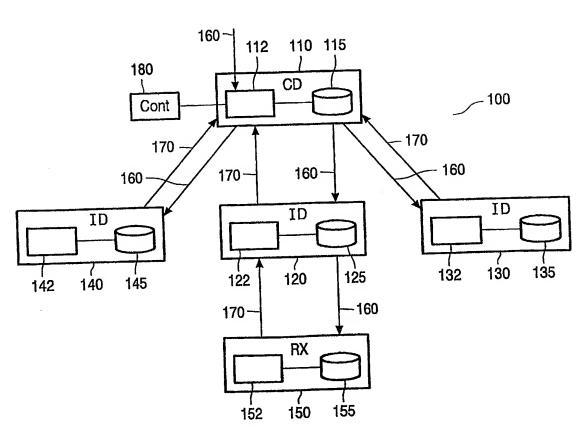


FIG. 2

•	- 1	N	10	9 7								
١	111111111111111111111111111111111111111	က	4	တ						4		
	-	7	ဖ	ထ				1	-	2	വ	
	-	2 3	გ 4					Ì	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	က	4	
	- 1	2	വ	1 1 0 0 7 1					-	2	9	
		က	6 4	-0					-	က	გ 4	7
	-	23232	9	1212 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1					-	2	സ	l
1	<b>-</b>	က	6 4 5 4	တ					-	က	5464546454645464	1
	~	2	က	7	1				-	2	9	
	<del></del>	က	4		ļ				-	2 3	4	
	<del></del>	2	ဖ	∞					-	2	rc	۱
1	-	2 3	5 4	1-0	1				-	23232323	4	ŀ
-	<del></del>	2	2	1	1				-	2	ဖ	١
١	~~	က	4	တ	1				-	က	4	١
١	~	2	4 6 4	∞					-	~	5	١
1	4	(1)	4		•				-	က	4	1
	-	3232333	3	1	-				-	0	9	1
Ì	-	က	5 4 6 4	1-0	<b>'</b>		ſ		<b> </b>	က	4	١
	-	2	၂ ဖ	α	}	FIG. 3A	1	6 7 8 9 0	-	~	വ	
١	~	က	4	0	2	3		တ	-	က	4	
١		N	വ		-	۲Ë		- ∞	-	~	9	
		က	4 9	4-4	-	$\underline{\odot}$		~	-	က	4	
	-	~	9	0	١,			9	1 -	~	ro	
	-	က	4	1-0	2			4 5	-	ന	4	
	-	~	ည	1	-1			4	-	~	9	
	-	က	4	9	ומ		playout	1 2 3	-	က	4	
	-	N	ပ		Ø		<u>8</u>	2	-	7	ည	
		က	7 72				Ω.	-		က	4	•
	-	~	י   יכו	)						~ ~	2	)
	-	·   ლ	, [7	-   -					-	. m	4	۲
	-	.   ~	A 5 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4		ω			İ	-	2 3 2 3 2 3	6 4 5 4 6 4 5 4 6 4	)
	-	. 6	)	r	တ			1	-	-   ო		۲
	-	-   0	1	2	<u></u>			quest		-   ~	2 ا	2
	-	- ਿੰ						regn	-	-   თ	,   <	+
		- 0	ى ر	0	$\infty$			Ξ.	-	-   ~	1 7	C
	المتكنية								-	- \ ~	,   <	4
Plocks	200 -	- c	<b>y c</b>	က I	ဂ				+	- 6	1 6	Q
jouroge		- c	74 (		4							

FIG. 3B

တ ထ

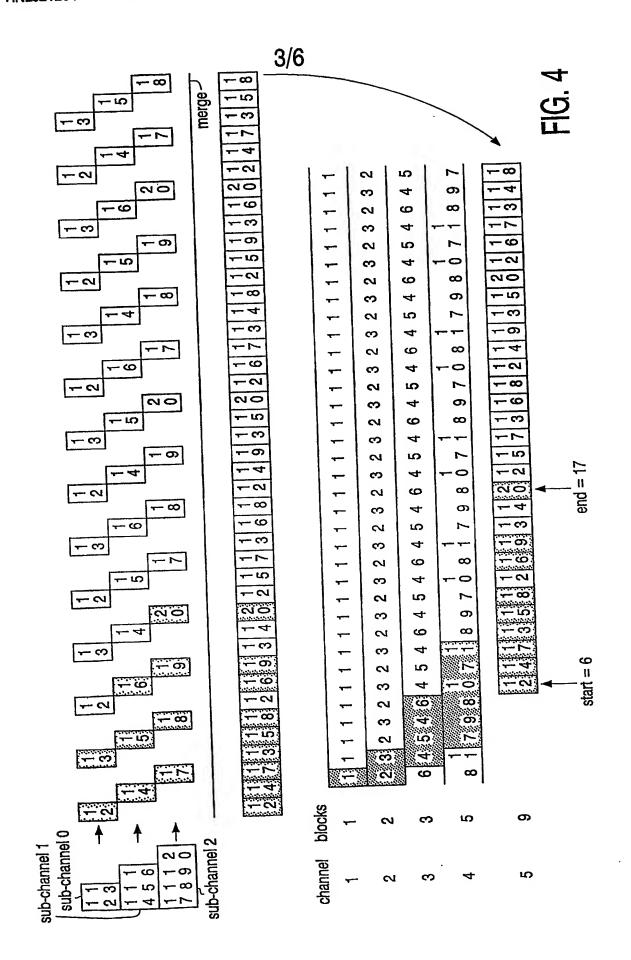
တ

ထ

တ ထ

081798071

 $\infty$ 



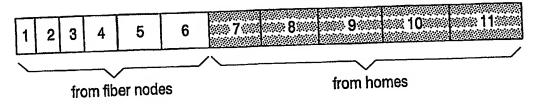


FIG. 5

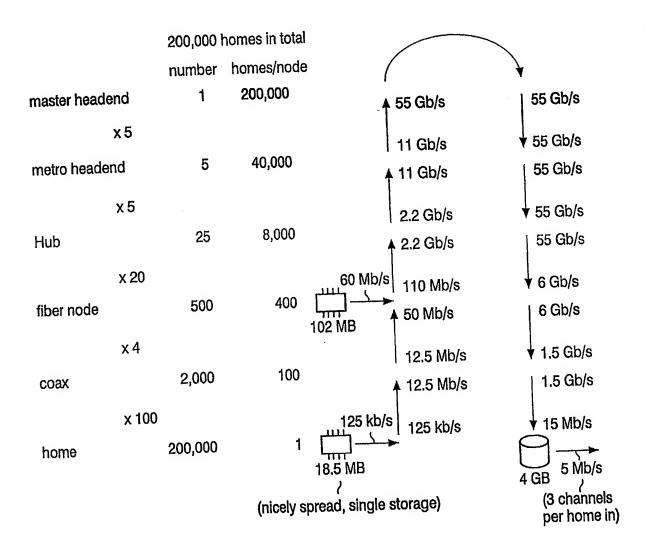


FIG. 6

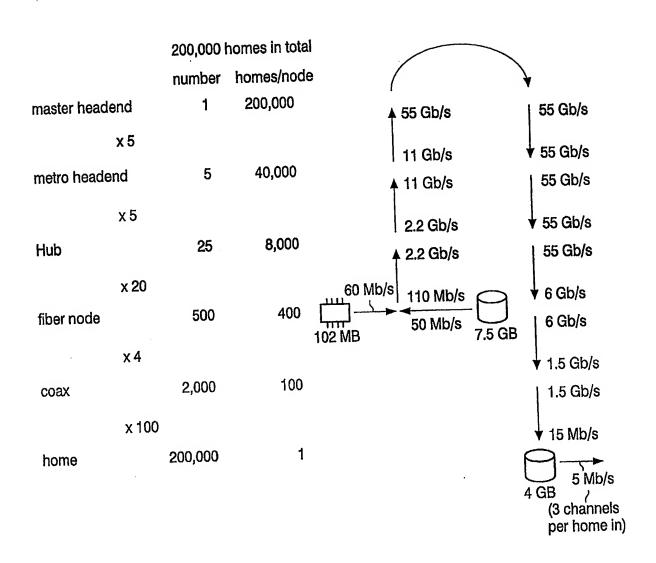


FIG. 7

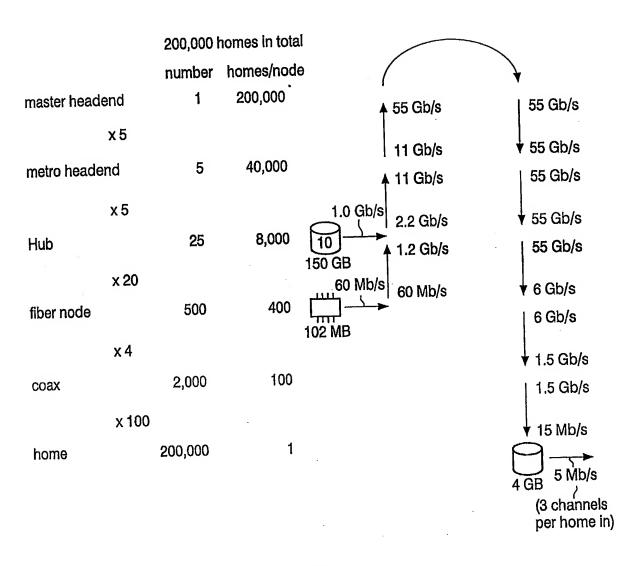


FIG. 8



THIS PAGE BLANK (USPTO)

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

# IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.